

CLIENT REGISTRATION FORM

INDIVIDUAL

DEMAT + TRADING



PROFITMART SECURITIES PVT. LTD.

(formerly known as M3 Multiple Securities Brokers Pvt. Ltd)

| | | | |
|--------------------|--|--------------------|------------------|
| Branch Name | | Version | 2.1 January 2017 |
| Branch Code | | Form No | |
| AP Name: | | Client Code | |
| AP Code: | | Client Name | |

INDEX

| Name of the Document | Brief Significance of the Document | Pg. No. |
|--|---|--------------------------|
| MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES | | |
| Account Opening Form | A. KYC form - Document captures the basic information about the constituent and an instruction/check list. B. Document captures the additional information about the constituent relevant to trading account along with an instruction/check list. | 1-4 |
| Application for Demat Account | C. Details are required for adhering to "Know Your Client" for opening a Demat Account | 8-10 |
| Rights and Obligations | Document stating the Rights & Obligations of stock broker/trading member, sub-broker/Authorized Person and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading). Rights and Obligations BO-DP | Separate Copy For Client |
| Risk Disclosure Document (RDD) | Document detailing risks associated with dealing in the securities market. | |
| Guidance Note – DO's and DONT's | Document detailing do's and don'ts for trading on exchange, on client for trading on the stock exchange(s) | |
| Policies and Procedure | Document describing significant Policies & Procedure of the stock broker. | 5-6 |
| Tariff Sheet | Document detailing the rate/amount of brokerage and other charges levied on client for trading on the stock exchange(s) & charges related to demat account | 7 12 |
| VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER | | |
| SMS/Email alert authorization Verbal Order Acceptance Authorisation | Authorization to receive SMS & Email alerts from Stock Exchanges Verbal Order Acceptance Authorisation | 14 |
| Running Account Authorization letter | This letter is Authorises to maintain fund securities on running account basis | 15 |
| Letter of Authority | Letter of Authority | 16 |
| PMLA | The Act to prevent financial of terrorism and to prevent laundering of money | 14 |
| DIS Issue | Option form for issue of Delivery Instruction Slip | 13 |
| Declaration | Declaration related to Mobile/Email registration. | 11 |
| Authorization | Authorization to debit Trading account for Demat account charges | 13 |
| Fatca | FATCA/CRS DECLARATION | 20 |
| Power of Attorney | Power of Attorney provided to broker to facilitate payin of securities and other authorizations | 17-19 |

JURISDICTION:

All disputes arising from this contract / sale / agreement would be subject to the exclusive jurisdiction of courts in Mumbai, India.

DISCLOSURE IN TERMS OF SEBI CIRCULAR NO. SEBI/MRD/SE/CIR-42/2003 DATED NOVEMBER 19, 2003

PROFITMART SECURITIES PVT. LTD. caters to Institutional, Corporate, High network and other retail clients through membership of various exchanges.

PROFITMART SECURITIES PVT. LTD. besides doing client based business also does its own investment and/or trading.


PROFITMART SECURITIES PVT. LTD.

"Proprietary Trading Disclosure noted"

Client Name : _____

Director/Authorised Signatory

Client Code : _____

Signature of Client :  (1/25) _____

| |
|--|
| TRADING ACCOUNT RELATED DETAILS |
|--|

A. BANK ACCOUNT(S) DETAILS (First Bank A/c. is default Bank Ac., through which transaction will generally be routed)

| | | | | | | | | | | | | | | | | | | | | | | | | | | |
|----------------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|-----------|--|--|--|--|--|--|--|--|--|--|
| Bank Name | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Branch Address | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Account Number | | | | | | | | | | | | | | | | | | | | | | | | | | |
| MCR Number | | | | | | | | | | | | | | | | IFSC Code | | | | | | | | | | |

Account Type: Savings Current Others | In case of NRI Account: NRE NRO

For NRI's RBI PIS NO.**B. DEPOSITORY ACCOUNT(S) DETAILS** (First Depository A/c. is default Depository A/c)

| | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|-------|---|---|---|---|---|---|---|---------------------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|---|
| Depository Participant details of the Client (In order of presence) | | | | | | | | | | | | | | | | | | | | | | | | | | |
| DP Name | DP ID | | | | | | | | Beneficiary Ac. No. | | | | | | | | | | | | | | | | | |
| PROFITMART SECURITIES PVT. LTD. | 1 | 2 | 0 | 8 | 3 | 6 | 0 | 0 | | | | | | | | | | | | | | | | | | <input checked="" type="checkbox"/> CDSL |
| | | | | | | | | | | | | | | | | | | | | | | | | | | <input type="checkbox"/> NSDL <input type="checkbox"/> CDSL |

Note: First Holder of A/c should be same as that of Trading Account.

C. TRADING PREFERENCES

Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

| | | | | | | | | | | | | | | | | | | | | | | | | | |
|------|--|--|--|--|--|--|--|--|--|--|--|--|----------|--|--|--|--|--|--|--|--|--|--|--|--|
| NSE | Segment Signature of Client | | | | | | | | | | | | | | | | | | | | | | | | |
| Cash | <input checked="" type="checkbox"/> (4/25) | | | | | | | | | | | | CURRENCY | <input checked="" type="checkbox"/> (6/25) | | | | | | | | | | | |
| F&O | <input checked="" type="checkbox"/> (5/25) | | | | | | | | | | | | | | | | | | | | | | | | |

If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

D. PAST ACTION

| | |
|---|--|
| Details of any action / proceedings initiated / pending / taken by SEBI / Stock Exchange / any other authority against the applicant/constituent or its partners/promoters/whole time directors/ authorized persons in charge of dealing in securities during the last 3 years (If yes please give details): _____ _____ | |
|---|--|

E. DEALINGS THROUGH SUBBROKERS AND OTHER STOCK BROKERS

If client is dealing through the sub-broker, provide the following details:

| | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---------------------------|--|--|--|--|--|--|--|--|--|--|--------------------------|--|--|--|--|--|--|--|--|--|--|---------|--|--|--|--|--|
| Sub-broker's Name | | | | | | | | | | | SEBI Registration Number | | | | | | | | | | | | | | | | |
| Registered Office Address | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Phone | | | | | | | | | | | Fax | | | | | | | | | | | Website | | | | | |

Whether dealing with any other stock broker/sub-broker (if case dealing with multiple stock brokers/sub-brokers, provide details of all)

| | | | | | | | | | | | | | | | | | | | | | |
|----------------------|--|--|--|--|--|--|--|--|--|--|--------------------|--|--|--|--|--|--|--|--|--|--|
| Name of Stock Broker | | | | | | | | | | | Sub Broker, if any | | | | | | | | | | |
| Client Code | | | | | | | | | | | Exchange | | | | | | | | | | |

Details of disputes/dues pending from/to such stock broker/sub-broker: _____

F. ADDITIONAL DETAILS

• Whether you wish to receive physical contract note or Electronic Contract Note (ECN)
 If ECN Specify your Email id _____

• Whether you wish to avail of the facility of internet trading Yes No

• Number of years of Investment/Trading Experience: _____

• Declaration for Mobile Number Self Spouse Child Parent

• Any Other Information : _____

G. INTRODUCER DETAILS (Optional)

Name of the Introducer _____

Address of the Introducer _____

Status of the Introducer: Sub-broker Remisier Authorized Person Existing Client

Employee Others, please specify _____

Phone No. _____ Signature of the Introducer: _____

I. Other Details - Information In Relation To The Prevention Of Money Laundering Act, 2002

1. Gross Annual Income Details (Please tick ✓) Below1 Lac 1-5 Lac 5-10 Lac 10-25 Lac >25 Lacs

Net-worth in Rs. _____ *Net worth should not be older than 1 year) as on (date) | D | D | M | M | Y | Y | Y | Y |

2. Occupation (Please tick ✓) any one and give brief details

Private Sector Public Sector Government Service Business

Professional Agriculturist Retired Housewife

Student Others _____

Please tick, if applicable: Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP):

Any other information _____


3. Experience Number of years of Investment / Trading Experience

4. Purpose of Opening the Account Investment Trading Arbitrage

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Place _____

 (8/25)

Date _____

Signature of Client/ (all) Authorized Signatory (ies)

FOR OFFICE USE ONLY

UCC Code allocated to the client _____


| | Documents verified with Originals | Client Interviewed By | In-Person Verification done by |
|-----------------------------|-----------------------------------|-----------------------|--------------------------------|
| Name of the Employee | | | |
| Employee Code | | | |
| Designation of the employee | | | |
| Date | | | |
| Signature | | | |

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Signature of the Authorised Signatory

Date _____

Seal/Stamp of the Stock Broker

 (9/25)

A Refusal of orders for Penny Stock:

The broker reserves his right to accept orders for buy or sell of stocks that quote at a relatively low price or have low floating stock. He will not be held responsible for any loss of opportunity by the client

B. Setting up client's exposure limit:

The Client is aware and agrees that trading exposure limit provided to each client may not be of same multiple, and it is discretionary prerogative of the broker to allow distinct permissible exposure to each client. The broker may seek upto 100% margin before accepting any order

C. Brokerage rate:

Brokerage will be charged to the clients at rates not exceeding the limit prescribed by the Exchange and at the value which is mutually agreed between the Client and the broker

D Imposition of penalty / delayed payment charges by either party, specifying the rate and the period not resulting in funding by the broker in contravention of the applicable laws:

The Client has executed a 'Running Account authorisation' in favour of the broker with intent to have ready margin to meet his or her trading needs. No interest is payable by the broker on credit balances, if any. The broker is not into the business of funding client positions, delayed payment will be charge of 1½% per month.

E. The right to sell client's securities or clients' positions, without giving notice to the client, on account of non-payment of client's dues (this shall be limited to the extent of settlement / margin obligation):

In the event of non-payment of settlement obligations the broker reserves the right to liquidate securities or open positions of the client to the extent of clearing the debit balance standing in financial ledger or margin obligation.

F. Shortages in obligations arising out of internal netting of trades:

There could be instances when a client does not deliver shares against its sale position, and coincidentally another client is to receive shares of the same scrip. In such a scenario the position will be subject to the Brokers discretion :

- (i) The Settlement positions will be closed by the broker at valuation rate.
- (ii) The benefit calculated during the next days price after the payment day shall be recovered from the defaulting client & passed on to the respective beneficial client.

G Conditions under which a client may not be allowed to take further position or the broker may close the existing position of a client:

Under any of the circumstances, such as, client's failure to meet pay-in or margin obligations or clearance of outstanding/debit balance with broker before permissible time limit or beyond such period as may be allowed by broker as per its RMS policy, the Client may not be permitted to take any fresh or further position until the full clearance of earlier dues, obligation, outstanding etc. Even, broker can firstly set-off or adjust the payment or securities towards various dues and obligation of the client and until the full clearance of the same, shall not allow the client to take further / fresh position

Further, it would be the duty of the client to monitor his/her/its position with the Broker from time to time. In case of any delay or failure in meeting any obligation, margin requirements etc. from client side, broker might close the existing position or open position WITHOUT ANY FURTHER INTIMATION to the client as per RMS policy. Such Circumstances may include (but not limited to):

- (i) failure to meet pay-in obligation on T+1 day,
- (ii) delay in meeting the pay-in or margin requirement,
- (iii) delay or failure in clearance of outstanding or dues to the broker,
- (iv) returning or frequent returning of cheques of the client,
- (v) Unnecessary / Unwarranted dispute from client without any substantial cause / reason,
- (vi) Client's attitude of not coming to the amicable settlement for any dispute that can be settled without involvement of Exchange and / or SEBI.
- (vii) As per prevalent RMS policy of the Broker,
- (viii) Any direction from SEBI/Exchange or such other authorities,
- (ix) Under such other circumstances as the Broker might think just and proper on case to case basis

H Temporarily suspending or closing a client's account based at the client's request:

The Broker and/or client may suspend Client's Trading Account from further dealing in the securities market through the broker in following circumstances:

- (a) as per Client's prior written request of atleast 3 days submitted to Broker at its Mumbai H.O. duly acknowledged by Broker (subject to clearance of entire outstanding/obligations),
- (b) Dormant or in-active status of client beyond specified time limit as may be prescribed by Broker,
- (c) Under any circumstances mentioned in (i) to (ix) above.

I Deregistering a Client:

Notwithstanding anything to the contrary stated in the mandatory & voluntary client registration documents, the stock broker shall be entitled to terminate the mandatory & voluntary client registration documents, with immediate effect in any of:

- (i) Regulatory actions of the SEBI or any of its arms;
- (ii) Commencement of a legal process against the client under prevailing laws of land;
- (iii) Death or lunacy or disability resulting in inaction of the client.
- (iv) Breach of any term, condition or covenant of this mandatory & voluntary client registration documents
- (v) Detection of misrepresentation of facts by the client;
- (vi) The client having voluntarily or compulsorily become the subject of proceedings under any bankruptcy or insolvency law or being a company, goes into liquidation or has a receiver appointed in respect of its assets or refers itself to the Board for Industrial and Financial Reconstruction or under any other law providing protection as a relief undertaking;
- (vii) If any covenant or warranty of the Client is incorrect or untrue in any material respect;

Dormant / Inactive Client Account:

It is the broker's discretion to treat a client account as dormant / inactive if there is no activity in an account for more than six months from the last trade. In such cases, reactivation of his trading account the client has to submit recent proof as required at that time to comply with the current KYC norms.

I/We have fully understood the above and do hereby sign the same these policies and procedures may be amended unilaterally by the broker, provided the amendment is informed to me / us by an approved mode of communication. These policies and procedures shall always be read along with the mandatory & voluntary client registration documents, and shall be compulsorily referred to while deciding any dispute or claim between me / us and broker before any regulator or arbitrator or court of law.

Statutory & Other Charges:

Brokerage will be exclusive of Transaction Charges, Stamp Duty, Security Transaction Tax, Service Tax, SEBI Turnover fees or any other tax/levy imposed by Exchange/SEBI/any other government agencies.

J. Digitally Signed contract Notes/ Confirmations

The member shall send the contract notes, trade confirmations, bills, account statement, notice, circular, ledger confirmation, margin statement, demat account holding statement and all other communication on the designated Email Id of the client who have opted to receive contract notes in electronic form.


K. Tape recording of conversation

Client is aware that member may tape record the conversation between the client and the member.

L. Display of policy and other information on website of Member/ Exchange/ SEBI

Client is aware that the policies/ procedures of the member and other information are regularly hosted on the website of the member and he shall ensure to keep himself updated with the same. Client also agrees to keep himself updated with the circulars hosted on the SEBI/ exchange website.

Client is also aware that Investor grievance email ID, Policy & Procedures, Copy of Standard KYC, Risk Management Policy, Literature of AML requirement, Links to website of SEBI and stock Exchanges of which Stock Broker is a member, other Information that a trading member is required to furnish to his clients is hosted on member's website as well as available on demand from member's office.

Signature of Client:  (11/25) _____


Place: _____

Date: _____

TARIFF SHEET

| Brokerage Structure | | |
|--|-----------|---|
| Capital Market/Cash Segment of Any Exchange | | Other Tariff Charges : |
| Trading Account | % of Rate | Minimum (Rs.) |
| Same Day Square off | | |
| | One Side | Each Side |
| Delivery Based Trade | | |
| Trade To Trade | | |
| Currency Derivatives Segment of Any Exchange | | |
| Trading Account | % of Rate | Minimum (Rs.) |
| Same Day Square off | | |
| | One Side | Each Side |
| Future | | |
| Options | | |
| Futures & Options/Derivatives Segment of Any Exchange | | |
| Trading Account | % of Rate | Minimum (Rs.) |
| Same Day Square off | | |
| | One Side | Each Side |
| Future | | |
| Options | | |
| Statutory Charges & Levies to be charged at the prevailing rates as applicable from time to time | | |
| Turnover/Transaction/Any other charges of Exchanges | | Service Tax |
| SEBI Turnover Based Fee | | Stamp Duty |
| Securities Transaction Tax (STT) | | Any Other Charges levied/introduced by Regulators/ Govt Authorities |
| Event Based Charges to be levied as per actuals | | |
| Bank Charges like RTGS/NEFT/Cheque Return etc. | | Demat Auto Payout/Intra Settlement/ Pledge/Unpledge Charges |
| Penalties Charged by Exchanges / SEBI / Any Regulatory Authorities ONLY if it is due to Clients Defaults / Errors / Violations | | |
| Penalty for Cheque Return | | Late Payment Charges |

Interest @ 18% p.a will be charged on the outstanding bill amount if not paid within the due date. The above tariff is subject to change. Changes if any will be intimated 30 days in advance. Annual Maintenance Charge (AMC) is non refundable. Service Tax, Education Cess and Higher Education Cess applicable on all above charges except stamp charges.

| | | |
|--------|---|---|
| Place: | Signature of the Applicant (Pl. sign within the box only) |  (12/25) |
| Date: | | |

NOMINATION DETAILS

(TRADING & DEMAT)

| | | | |
|--|---------------|--------------------------------|----------|
| DP ID 1 2 0 8 3 6 0 0 | | BO ID | |
| NOMINATION REGISTRATION NO.: | | DATE (DD/MM/YYYY) | |
| I/We the sole holder/Joint holders/Guardian (in case of minor) hereby declare that: <input type="checkbox"/> I/We do not wish to nominate any one for this demat account. <input type="checkbox"/> I/We nominate the following person who is entitled to receive security balances lying in my/our account, Particulars whereof are given below, in the event of my/our death. | | | |
| FULL NAME OF THE NOMINEE: | | | |
| ADDRESS: | | | |
| CITY: | STATE: | COUNTRY: | PIN CODE |
| TELEPHONE NUMBER: | | FAX NUMBER: | |
| PAN NO. | | UID NO. | |
| EMAIL ADDRESS: | | RELATIONSHIP WITH BO (IF ANY): | |
| DATE OF BIRTH (MANDATORY IF NOMINEE IS A MINOR): | | | |
| As the nominee is a minor as on date, to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder/all Joint holders, I/We appoint the following person to act as Guardian : | | | |
| FULL NAME OF GUARDIAN OF NOMINEE: | | | |
| PAN NO. (Compulsary) | | | |
| ADDRESS: | | | |
| CITY: | STATE: | COUNTRY: | PIN CODE |
| TELEPHONE NO.: | | FAX NO.: | EMAIL: |
| RELATIONSHIP OF GUARDIAN WITH NOMINEE: | | | AGE: |
| This nomination shall supersede any prior nomination made by me/us and also any testamentary document executed by me/us. Note : Two witnesses shall attest signature(s) / thumb impression(s) | | | |
| Details of the Witness | | | |
| | First Witness | Second Witness | |
| Name of the Witness | | | |
| Address of the Witness | | | |
| Signature of the Witness | | | |

I/We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details/Particulars mentioned by me/us in this form. I/We further agree that any false/misleading information given by me/us or suppression of any material information will render my account liable for termination and suitable action.

| | | |
|------------------------------------|--------------------------------|-------------------------------|
| First/Sole Holder Signature | Second Holder Signature | Third Holder Signature |
| (13/25) | | |

(Signatures should be preferably in black ink)

----- (Please Tear Here) -----

Acknowledgement Receipt

Application No.:

Date:


We hereby acknowledge the receipt of the Account Opening Application Form / Nominee Details / DIS:

| | |
|-------------------------------|--|
| Name of the Sole/First Holder | |
| Name of the Second Holder | |
| Name of the Third Holder | |

FOR PROFITMART SECURITIES PVT. LTD.

----- (Please Tear Here) -----

| Declaration | Please strike of whichever is not applicable |
|--|--|
| I hereby declare that the mobile no./email id mentioned in the Account opening form/ request for change in mobile no./email id is my own | Yes / no |


| First/Sole Holder Signature | Second Holder Signature | Third Holder Signature |
|---|-------------------------|------------------------|
|  (14/25) | | |

[In case the option 'No' has been selected above, the request letter appended below is required to be submitted]

REQUEST LETTER FOR REGISTRATION OF MOBILE NO./EMAIL OF PERSON BELONGING TO THE CLIENT'S FAMILY

Please note that the mobile no./Email Address /both mentioned in the Account opening form/ request for change in mobile no./Email belongs to Mr/Mrs./Ms. _____ who is my _____
(relationship with the client)

[*Refer to the instructions mentioned below]

| First/Sole Holder Signature | Second Holder Signature | Third Holder Signature |
|---|-------------------------|------------------------|
|  (15/25) | | |

* [Only the mobile no./email of your spouse, dependent children and dependent parents can be registered in your demat account]

Confirmation of Receipt of executed documents

With reference to my/our registration as Client with you, I/We hereby confirm that I/We have received copies of the Know Your Client Form (KYC), Rights & Obligations, Risk Disclosure Documents (RDD), Power of Attorney (POA) (if any), & all other executed documents.

I understand that the Voluntary documents executed by me are out of my own free will. I/ hereby confirm that I have clearly understood and agree to abide by the Terms and Conditions described by Profitmart Commodity Broking Pvt. Ltd. I also understand that these Terms and Conditions can be changed by Profitmart Commodity Broking Pvt. Ltd from time to time with prior notice of 10 days and subject to posting of the amendments and modifications therein on it's website and their applicability with prospective effect.

Client Signature:  _____

PROFITMART SECURITIES PVT. LTD.

Off. No.12, 2nd Floor, Neelkanth Market, M.G. Road, Ghatkopar – East, Mumbai - 400077

Phone: +91-22-62360931 • Fax: +91-22-62360932.

• URL: www.profitmart.in • Email: info@profitmart.in • CIN No: U65990MH2011PTC217211

SCHEDULE A - TARIFF STRUCTURE (DP ID 12083600)

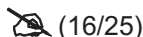
I/We agree to pay the charges as per following charge structure for our Demat account with Profitmart Securities Pvt. Ltd.

BO ID DATE (DD/MM/YYYY) DP ID

| CHARGE HEAD | CHARGES |
|---|--|
| Annual Maintenance Charges | – Rs. 999 Life Time |
| Transaction charges Market / Of Market / Interdepository Transactions | – Rs. 20/- per scrip |
| Pledge Charges Creation / Closure and Confirmation of both | – 0.02% of the transaction charges subject to a minimum of Rs. 50/- plus NSDL / CDSL charges at actual. |
| Pledge Charges for Invocation | – 0.05% of the transaction charges subject to a minimum of Rs. 50/- |
| Dematerialization Charges | – Rs 10.00 per certificate plus courier charges of Rs. 35/- per demat request for every 500 gms. |
| Rematerialization Charges | – Rs. 25/- per certificate or 0.05% of the value whichever is higher. |
| SLB Charges | – For Securities Borrowings: Rs. 25/- plus NSDL / CDSL charges at actuals. – For Securities Lending: Rs. 25/- plus NSDI / CDSL charges at actuals. |
| Repurchase / Redemption Charges | – Flat Rs. 25/- per transaction plus Depository charges at actuals. |
| Failed / Rejected Transactions | – Rs. 50/- per transaction. |
| Demat Rejection Charges | – Rs. 35/- per rejection for every 500 gms. |
| Statement Requests | – Adhoc statement requests: - Rs. 25/- |
| E-Mail Statement | – Periodic Bills and Transactions statements and other communications will be sent by email only. Terms and Conditions for the same to be mandatorily executed. Requests for physical statements will be charged as mentioned above. |
| Cheque Bounce charges | – Charges will be applied based on charges as determined by our bankers. |

NOTE: For all purposes the bill date shall be construed as the date of demand and the bills will be considered as the bill cum notice for payment and Profitmart Securities Pvt. Ltd. reserves the right to Freeze Depository account for debit transaction in case of non payment of charges after two days from the bill date.

Interest @ 18% p.a will be charged on the outstanding bill amount if not paid within the due date. The above tariff is subject to change. Changes if any be intimated 30 days in advance. Annual Maintenance Charge (AMC) is non refundable. Service Tax, Education Cess and Higher Education Cess applicable on all above charges except stamp charges

| First/Sole Holder Signature | Second Holder Signature | Third Holder Signature |
|--|-------------------------|------------------------|
|  (16/25) | | |

OPTION FORM FOR ISSUE OF DIS BOOKLET

(OPTIONAL)

DP ID | 1 | 2 | 0 | 8 | 3 | 6 | 0 | 0 |

BO ID | | | | | | | | |

TO: PROFITMART SECURITIES PVT. LTD.

Off. No.12, 2nd Floor,
Neelkanth Market, M.G. Road,
Ghatkopar – East, Mumbai - 400077

Dear Sir,

I/We hereby state that: (select one of the option given below)

OPTION 1

I/We require you to issue Delivery Instruction Slip (DIS) booklet to me/us immediately on opening my/our CDSL account though I/We have issued a Power of Attorney (POA) in favor of Profitmart Securities Pvt. Ltd. for executing delivery instructions for settling stock exchange trades (Settlement related transactions) effected through such Clearing Member.

OR

OPTION 2

I/We do not require Delivery Instruction Slip (DIS) for time being. Since I/We have issued a POA in favor of Profitmart Securities Pvt. Ltd. for executing delivery instructions for settling stock exchange trades (Settlement related transactions) effected through such Clearing Member. However, the Delivery Instruction Slip (DIS) booklet should be issued to me/us immediately on my/our request at any later date.

I/We hereby select:

OPTION 1 OPTION 2

| First/Sole Holder Signature | Second Holder Signature | Third Holder Signature |
|---|-------------------------|------------------------|
|  (17/25) | | |

DATE (DD/MM/YYYY) | | | | | | | | |

VOLUNTARY

To, **PROFITMART SECURITIES PVT. LTD.**

Off. No.12, 2nd Floor,
Neelkanth Market, M.G. Road,
Ghatkopar – East, Mumbai - 400077

Dear Sir,

Sub: Authorisation to debit trading account for the demat account charges

Ref: DP ID: | 1 | 2 | 0 | 8 | 3 | 6 | 0 | 0 |


BO ID | | | | | | | | |

I/We have opened/have a beneficiary account with your CDSL depository along with a trading account for investment and trading purposes. It would be difficult for me/us to keep issuing separate cheques against your depository bills.

I/We, therefore authorize you to debit the charges payable towards operation of the above account. I/We are aware of the charges for operating the said account. Any such sum debited to my trading account shall be binding on me/us.

Thanking you,

Yours truly,

| First/Sole Holder Signature | Second Joint Holder Signature | Third Joint Holder Signature |
|---|-------------------------------|------------------------------|
|  (18/25) | | |

SMS AND E-MAIL ALERTS TO INVESTORS BY STOCK EXCHANGES
(Ref: SEBI circular No. CIR/MIRSD/15/2011 dated August 02, 2011)

VOLUNTARY

I request you to enroll me for the following facility offered by the Stock Exchanges pursuant to above referred SEBI circular

SMS Facility on Mobile No provided in my KYC

1. Email ID Belongs to Self Family Member
2. In case of family member please specify the relationship:
 Dependent Child Dependent Parent Spouse
3. PAN No. of the family Member whose Email ID is provided above:

Email Facility on email Id provided in my KYC

1. Mobile No Belongs to Self Family Member
2. In case of family member please specify the relationship:
 Dependent Child Dependent Parent Spouse
3. PAN No. of the family Member whose Mobile No is provided above:

Note:

1. Individual Client is invariably required to provide his own Mobile No. except in case of family members where the following relationship exist Dependent Child OR Dependent Parent OR Spouse
2. Non Individual client shall provide the Mobile/ Email details of the person authorized to receive such communication and as provided in the KYC.
3. Client may please note that Mobile No. & Email ID of Trading Member (TM)/ employees of TM/ Sub broker/authorised person are not permitted for receiving the SMS/ Email communication from exchanges
4. Client may note that the circular is available for download on the exchange's website.

VERBAL ORDER ACCEPTANCE AUTHORISATION

VOLUNTARY

TO,

PROFITMART SECURITIES PRIVATE LIMITED.

(Formerly known as M3 Multiple Securities Brokers Pvt. Ltd.)
Off. No.12, 2nd Floor, Neelkanth Market, M.G. Road,
Ghatkopar – East, Mumbai - 400077

I/We have been/shall have be dealing through you as my/our broker on the Capital Market and /or Future & Options Segments/Currency Derivative Segments. This instruction is applicable for all the segments of NSE in which I/We have opted to open the account with you. As my/our broker i.e. agent I/We direct and authorize you to carry out trading/dealings on my/our behalf as per instructions given below.

As I/We shall be dealing by ordering over phone and even if we visit the branch, the fluctuations in market are so rapid that it is not practical to give written instructions for order placement/modification and cancellation, I/We hereby authorize you to accept my/my authorised representative's verbal instructions for order placement/modification and cancellation in person or over phone (fixed line or mobile phone) and executed the same. I/We understand the risk associated with verbal orders and accept the same, and agree that I/We shall not be entitled to disown orders and consequent trades (if any) under the plea that same were not under mine/our instructions. I/We agree that I/We will not have the right to shift the burden of proof by asking you to prove the placement of orders through telephone recording or otherwise.

I/We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my/our directions given above.

Thanking you,
Yours truly.

Signature of Client:  (19/25)

AUTHORITY LETTER FOR RUNNING ACCOUNT OF FUNDS AND SECURITIES

To,
PROFITMART SECURITIES PRIVATE LIMITED.
 (Formerly known as M3 Multiple Securities Brokers Pvt. Ltd.)
 Off. No.12, 2nd Floor, Neelkanth Market, M.G. Road,
 Ghatkopar – East, Mumbai - 400077

Dear Sir,

1. With reference to my/our trading account opened with you, I/We request you to maintain a running account for funds and securities on my/our behalf without settling the account on settlement of each transaction. I/We further request you to retain all amounts and securities receivable by me/us until specifically requested by me/us to be settled within one working day of request, if the same is lying with PROFITMART SECURITIES PRIVATE LIMITED & within 3 working days from the request if the same is lying with clearing member / clearing corporation.
2. I/We understand and agree that no interest will be payable to me/us on the funds or securities so retained with you.
3. Excess margins deposited towards one exchange / segment may be adjusted, on a running basis towards margin requirement / debit balance in same / other segment under PROFITMART SECURITIES PRIVATE LIMITED.
4. I/We also confirm that the securities lying in my withhold A/c should be considered as margin deposit/collateral.
5. I/We authorize you to set off a part or whole of the margin deposited by me/us against any of my/our dues, by appropriating relevant amount of fund or by sale of securities which form part of margin.
6. I/We hereby authorize you to pledge my/our securities deposited as margin or withheld by you as permitted in the running account and to deposit my/our funds deposited as margin to Exchanges / Clearing Corporations towards margin.
7. I/We authorize PROFITMART SECURITIES PRIVATE LIMITED to hold shares purchased me/us in their beneficiary / pool account as collateral / margin against my/our future trades / obligations in same / other exchange / segment. Under PROFITMART SECURITIES PRIVATE LIMITED.
8. I/We may revoke the authorization at any time by giving a written notice.
9. I/We also agree that the actual settlement of fund and securities shall be done by us, at least once in a calendar quarter or month and the statement of account for the same will be provided to me by PROFITMART SECURITIES PRIVATE LIMITED.
10. I/We agree that (a) in respect of derivatives market transactions, apart from margin liability as on the date of settlement, trading member may retain additional margins (maximum up to 125% of margin requirement on the day of settlement) to take care of any margin obligation arising in next 5 days, (b) in respect of cash market transactions, Trading Member may retain entire pay-in obligation of funds & securities due from clients as on the date of settlement.
11. I/We agree/understand that there shall be no inter-client adjustment for the purpose of settlement of the running account.
12. I/We shall bring any dispute arising from the statement of account or settlement so made to the notice of the Trading Member preferably within 7 working days from the date of receipt of funds/securities or statement as the case may be.

Thanking you,
 Yours truly.

PREFERENCE OF CLIENT FOR SETTLEMENT OF RUNNING ACCOUNT (FUNDS & SECURITIES)

Settlement Preferences

Monthly

Quarterly

Signature of Client:  (20/25)

LETTER OF AUTHORITY

VOLUNTARY

TO,
PROFITMART SECURITIES PRIVATE LIMITED.
(Formerly known as M3 Multiple Securities Brokers Pvt. Ltd.)
Off. No.12, 2nd Floor, Neelkanth Market, M.G. Road,
Ghatkopar – East, Mumbai - 400077

Dear Sir / Madam,

Sub: Letter of Authority - CASH / DERIVATIVES

1. I/We hereby authorise PROFITMART SECURITIES PRIVATE LIMITED not to provide me Order Confirmation / Modification / Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/We hereby request PROFITMART SECURITIES PRIVATE LIMITED to kindly accept my/our mandate holder's verbal orders/instructions in person or over phone and execute the same. I/We shall get the required details from the contract issued by you. I/We understand the risk associated with placement of verbal orders and accept the same. I/We shall not disown orders under the plea that the same was not placed by me.
2. I/We indemnify PROFITMART SECURITIES PRIVATE LIMITED and keep you indemnified against all losses, damages, actions which you may suffer or face, as a consequence of adhering to and carrying out my/our orders verbally. In case I wish to withdraw this consent I shall inform PROFITMART SECURITIES PRIVATE LIMITED in writing and get the same acknowledged by PROFITMART SECURITIES PRIVATE LIMITED at least one week in advance from the date of withdrawal.
3. I/We hereby authorise PROFITMART SECURITIES PRIVATE LIMITED to deposit securities received from me/us or purchased through PROFITMART SECURITIES PRIVATE LIMITED lying in my/our account to the Stock Exchange(s)/NSCCL or their custodian appointed by them for the purpose of margin/ other obligation and/or to facilitate my/our transaction in the normal course of securities business. Further, PROFITMART SECURITIES PRIVATE LIMITED shall release the securities to me/us on my/our request if the same is releasable to me/us.
4. Trading of all Exchanges is in Electronic Mode, based on VSAT, Leased line, ISDN, Modem and VPN, combination of technologies and computer systems to place and route orders. We understand that here exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt, of any such other problem/glitch whereby not being able to establish access to the trading system/network, which may be beyond your control and may result in delay in processing of buy or sell orders either in part or in full. I/We shall be fully liable and responsible for any such problems/fault.
5. I/We agree not to hold PROFITMART SECURITIES PRIVATE LIMITED liable or responsible for delay or default in performance of your obligations due to contingencies beyond your control such as fire, flood, civil commotion, earthquake, riots, war strikes, failure of systems, failure of internal links, government/regulatory actions or any other contingencies beyond your control member not laibale.
6. I/We hereby confirm that I/We never sublet the trading terminal on any term of connectivity, from my/our place to any other place without your prior approval.
7. I/We am/are agreeable for inter-settlement transfer of securities towards settlements.
8. I/We am/are agreeable for & authorise PROFITMART SECURITIES PRIVATE LIMITED to with hold funds payout towards all applicable margins and debits.
9. All fines/penalties and charges levied upon PROFITMART SECURITIES PRIVATE LIMITED due to my acts/deeds or transactions may be recovered by PROFITMART SECURITIES PRIVATE LIMITED from my account.
10. Any queries related to security delivered by PROFITMART SECURITIES PRIVATE LIMITED, would be brought to the notice of PROFITMART SECURITIES PRIVATE LIMITED within two days of the receipt of such securities in my/our Demat Account.
11. Any queries related to my/our Contract cum Bill, shall be brought to the notice of the PROFITMART SECURITIES PRIVATE LIMITED within 24 hours of the receipt of Contract cum Bill.
12. PROFITMART SECURITIES PRIVATE LIMITED is hereby advised to keep these instructions in force unless specifically informed by me/us in writing.
13. I/We hereby reconfirm and accept all the terms & conditions mentioned hereinabove.

Thanking you,
Yours truly.

Signature of Client:  (21/25)

POWER OF ATTORNEY

TO ALL TO WHOM THESE PRESENTS SHALL COME I/WE Mr./M/s. (First holder)_____

(Second holder)_____ (Third holder)_____ an individual/a sole proprietary concern/a partnership firm/a body corporate/trust, registered/incorporated, under the provisions of the Indian Partnership Act, 1932/the Companies Act 1956, or any relevant Act, having his/her/its residence/registered office/place of business at _____

(hereinafter referred to as "Beneficial Owner") wish to avail/have availed the broking/e-broking facilities and services offered (hereinafter referred to as "Services") by Profitmart Securities Pvt. Ltd (hereinafter referred to as "PSPL"), a Partnership Firm incorporated under the Indian Partnership Act of 1932, having its registered office at Off. No.12, 2nd Floor, Neelkanth Market, M.G. Road, Ghatkopar – East, Mumbai - 400077 and is a Member (Trading Member) of the National Stock Exchange of India Limited (NSE), respectively (hereinafter referred to as "the Exchange"). Whereas in the course of availing the services and for meeting the settlement obligation thereof on the Exchanges, I/We do hereby nominate, constitute and appoint M/s. Profitmart Securities Pvt. Ltd (Member Broker), hereinafter referred to as "Profitmart Securities Pvt. Ltd." acting through their Partners and/or duly authorised staff for the purpose, as my/ our true and lawful attorneys for my depository account with Profitmart Securities Pvt. Ltd Securities Pvt. Ltd. (Depository Participant) DP ID 12083600, Client ID _____ to execute and perform severally the following acts, deeds, matters and things, provided the attorney complies with all applicable conditions of all or any of their services offered by them in their capacity as stock Brokers.

WHEREAS:

- (A) I/We, as the Client of (Profitmart Securities Pvt. Ltd.) being a trading member of (National Stock Exchange of India Ltd.) desire to invest and/or trade in commodities, shares, bonds, debentures and other securities, whether listed or unlisted, including units of mutual funds, government securities, certificate of deposits, warrants, options, futures, derivatives, securitized debt instruments, fixed return investment, equity linked instruments, Exchange Traded Funds (ETF) or other marketable Securities of a like nature in or of any incorporated company, deposits or other money market instruments, units issued by Unit Trust of India, cumulative convertible preference shares issued by any incorporated company and Securities issued by the Central Government or a State Government for the purpose of raising public loan (herein after referred to as the "Securities") offered by the (Profitmart Securities Pvt. Ltd.) as trading member of a Registered Stock Exchange. Accordingly as the Client, I/We have entered into/propose to enter into Member – Client Agreement (herein after referred to as the said "Member-Client Agreement" as amended and in force from time to time) with Member/ (Profitmart Securities Pvt. Ltd.) in this regard:
- (C) Pursuant to the terms of the said Member-Client- Agreement, I/We have designated/are designating the said Demat Account for the purpose of the said Member- Client-Agreement;
- (D) As consideration for the above mentioned facilities as well as a prerequisite for fulfilling all the various preconditions, requirements and obligations as the Client of (Profitmart Securities Pvt. Ltd.) as well as for facilitating (Profitmart Securities Pvt. Ltd.) to exercise its powers available in law and to facilitate proper execution and completion of all trade transactions in Securities/commodities by (Profitmart Securities Pvt. Ltd.) in my/our behalf, I/We individual/joint account holder, am/are desirous of appointing (Profitmart Securities Pvt. Ltd.) as my/our attorney and confer upon (Profitmart Securities Pvt. Ltd.) the powers hereinafter stated.

| | | |
|-------------------------------|--|--|
| Third Joint Holder Signature | | |
| Second Joint Holder Signature | | |
| First/Sole Holder Signature | |  (22/25) |

1. To operate the Designated Demat Account, hold, pledge, debit all or any of the Securities / commodities from time to time held by me/us in the Designated Demat Account and to give such instructions as and when the said Attorney may deem fit, to transfer all or any of the said Securities / commodities to any account(s) of (Profitmart Securities Pvt. Ltd.) or in accordance with the instructions of (Profitmart Securities Pvt. Ltd.) towards the fulfilling of all or any of my/our delivery obligations for and transactions completed on my/our behalf through the on-line or offline trading facilities of (Profitmart Securities Pvt. Ltd.). The (Profitmart Securities Pvt. Ltd.)'s beneficiary account details for the above purpose are mentioned more particularly in 'Schedule II' forming part of the present POA and I understand that any change to the same shall be communicated to me/ us by way of a written communication by (Profitmart Securities Pvt. Ltd.).
2. To sign, deliver, endorse, and/or acknowledge all instructions, forms, transfer deeds, depository forms and instruments, delivery instruction slips and other instruments and writings which are required or considered necessary or advisable for performing all or any of the powers or authorities hereby conferred by me/us on the said Attorney.
3. To debit securities/commodities to the said Designated Demat Account and/or transfer securities/commodities from the said Designated Demat Account to any other account of (Profitmart Securities Pvt. Ltd.) (towards settlement as listed in Schedule II, to the extent of shares sold through (Profitmart Securities Pvt. Ltd.) for pay in obligation
4. To credit my/our Designated Demat Account(s) with any securities/commodities that are erroneously received or such securities / commodities which (Profitmart Securities Pvt. Ltd.) is not entitled to receive
5. To do all such acts, deeds and things as may be necessary for or incidental to my / our, individual / joint account holders being the Client of (Profitmart Securities Pvt. Ltd.) for the purposes as aforesaid.
6. To send consolidated summary of scrip-wise buy and sell positions by way of SMS / email on a daily basis
7. AND I /We, individual / joint account holders do hereby for myself/ ourselves, agree to ratify and confirm all and whatsoever my / our said Attorney shall do or purport to do or Cause to be done by virtue of these presents.
8. AND I /We, individual / joint account holders do hereby for myself / ourselves agree and confirm that the aforesaid owners shall be exercised by the Attorney acting through any of its officers or employees.
9. To issue instructions relating, executing delivery/receipt instructions, pledge creation instructions, pledge closure instructions, lending and borrowing instructions, to operate the depository account by issue and receipt of instructions for the above mentioned purpose and such other authorization given by me/us severally on behalf of all of us, or all/any of us jointly, in any electronic form, in any format and at any time either through the portal of Profitmart Securities Pvt. Ltd or through the internet will be validly constituted attorney to intimate the same to the Depository Participant for the purpose of debiting or crediting my account opened with the Depository Participant.
10. I/We also undertake to pay such demat charges/fees and such other charges incurred by Profitmart Securities Pvt. Ltd under this power of attorney and that I/We further authorise Profitmart Securities Pvt. Ltd to debit my/our account with Profitmart Securities Pvt. Ltd with the said charges as and when the same becomes due for payment and I/we hereby undertake to pay the same immediately on demand made by Profitmart Securities Pvt. Ltd. All charges have been mentioned in the Tariff sheet in the application form.
11. We specifically agree that in case of death, disability, insolvency, winding up or liquidation of any one or more of us or of the joint account holder/s, the surviving joint holders and heirs, nominees, executors, administrators, successors and legal representatives of the account holder who has died, disabled, dissolved, been adjudged insolvent, wound up or liquidated shall forthwith inform the Attorney of the same in writing. In such an event, the Power of Attorney and the instructions contained herein shall be revoked forthwith and remaining joint holders along with heirs, nominees, executors, administrators, successors and legal representatives of such joint account holder/s shall forthwith execute a fresh power of attorney and other relevant documents. Incase of change in constitution of the Designated Demat Account, this POA shall terminate and new POA shall have to be executed by new account holders.
12. I/We hereby ratify and confirm and agree to ratify and confirm all that the said Attorney or any of its officers, employees, shall do or cause to be done in or concerning the premises by virtue of these presents and I /We hereby agree that all such acts done by my/our above mentioned Attorney shall be deemed to be acts done by me/us and if necessary shall be ratified by me/us on the instructions of the said Attorney.

| | | |
|--|-------------------------------|--|
| | Third Joint Holder Signature | |
| | Second Joint Holder Signature | |
| | First/Sole Holder Signature |  (23/25) |

13. This POA has been given to (Profitmart Securities Pvt. Ltd.) to invest and/or trade in the securities / commodities with or through the online and/or off-line trading facilities offered by (Profitmart Securities Pvt. Ltd.) as trading members of (National Stock Exchange of India Ltd) in terms of the said Member-Client Agreement and for (Profitmart Securities Pvt. Ltd.) agreeing at my/our request to act as my/our Attorney and accordingly this POA shall in all events be treated as valid, effective and operative till the said Member-Client Agreement is in force and/or till all the relevant Securities / commodities and/or all amounts together with principal, charges and expenses and all other monies due and payable in respect of the Member-Client Agreement and/or the transactions completed by (Profitmart Securities Pvt. Ltd.) on my/our behalf have been duly received, paid in full and settled satisfactorily by me/us as the Client and the cessation of this authority shall not affect or impair any act thereto done in exercise of these presents.
14. To comply and /or cause to be complied with all statutory and other requirements attached to or arising out of these presents and for this purpose take such steps and actions necessary or proper, including signing of affidavits, indemnity, declarations, legal documents, deeds and writings required.
15. To do or omit to do all such acts and things as (Profitmart Securities Pvt. Ltd.) may in its discretion consider to be necessary or desirable in order to exercise its powers hereunder or to comply with any laws, orders, rules, regulations or directions of any government or regulatory or other authorities.
16. My/Our Attorney (Profitmart Securities Pvt. Ltd.) shall not be liable for any loss that may result from failure/inability of electronic connectivity of rejection of my/our any instruction / application for any reason whatsoever.
17. Either party may revoke or cancel the said POA at any given point of time.

IN WITNESS THEREOF I/WE, individual / joint account holders hereunto have set and subscribe my/our respective hand(s) hereto at _____ on this _____ day of _____ 20_____

SCHEDULE – II

| PARTICULARS | DP ID | CLIENT ID |
|------------------------|--------------|------------------|
| CDSL BENE A/C | 12083600 | 00000016 |
| CDSL CLIENT MARGIN A/C | 12083600 | 00000020 |
| CDSL POOL A/C | 12083600 | 00006284 |
| | | |
| | | |

Third Joint Holder Signature

Second Joint Holder Signature

First/Sole Holder Signature

(24/25)

| Witness 1 |
|------------------|
| NAME: |
| ADDRESS: |
| SIGNATURE: |

| Witness 2 |
|------------------|
| NAME: |
| ADDRESS: |
| SIGNATURE: |

FATCA / CRS DECLARATION / SELF CERTIFICATION FOR INDIVIDUAL

| | First/Sole Holder | Second Holder (if any) | Third Holder (if any) |
|---|--|--|--|
| Client Code: | | NA | NA |
| Demat Account No. | | | |
| 1. Are you U.S. person | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 2. Specify country of tax residency (If resident of more than one country, please specify all) | | | |
| 3. Specify country of citizenship (If citizen of more than one country, please specify all) | | | |
| If ticked on "Yes" in point no.1 and "specifies countries other than India" in point no.2 and 3 above, please provide below details:- | | | |
| 4. Provide Tax Identification Number | | | |
| 5. Specify country of birth | | | |
| 6. Specify city of birth and pin code | | | |
| 7. Source of Wealth | <input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Rental Income <input type="checkbox"/> Prize money <input type="checkbox"/> Royalty <input type="checkbox"/> Other (Please specify) _____ | <input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Rental Income <input type="checkbox"/> Prize money <input type="checkbox"/> Royalty <input type="checkbox"/> Other (Please specify) _____ | <input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Rental Income <input type="checkbox"/> Prize money <input type="checkbox"/> Royalty <input type="checkbox"/> Other (Please specify) _____ |

DECLARATION

I / We hereby declare, agree and confirm the following:-

- a) The details furnished above are true to the best of my knowledge and belief and shall undertake to inform Profitmart Securities Private Limited. within 30 days, in case of any change in the above given status on a future date;
- b) If I / we am/are U.S. person or tax resident of a reportable foreign jurisdiction (other than U.S.), my account details, would be reported by Angel Broking Private Limited to the relevant tax authority, or information may be shared with concerned Asset Management Companies (AMCs) or such other product providers, to whom FATCA/ CRS norms are applicable or to any of the Government Agencies / Tax authorities / Regulators / Exchanges / Depositories of India or of any country other than India;
- c) If my / our Country of Birth is US, however, I / We declare that I / We are not US Person, I / We shall provide a certificate of relinquishment of citizenship (Loss of nationality) OR a self certification stating reasons for not having such a certificate despite relinquishing US citizenship OR not obtaining US citizenship at birth.

| First/Sole Holder Signature | Second Joint Holder Signature | Third Joint Holder Signature |
|-----------------------------|-------------------------------|------------------------------|
| (25/25) | | |



PROFITMART SECURITIES PVT. LTD.

(formerly known as M3 Multiple Securities Brokers Pvt. Ltd)

Mebership No. : NSE - 14556
SEBI Regn. No. : NSE CASH - INZ000093633
SEBI Regn. No. : NSE F&O - INZ000093633
SEBI Regn. No. : NSE CDS - INZ000093633
Mebership No. : CDSL DP - 12083600
SEBI Regn. No. : CDSL - IN-DP-295-2016

| | |
|--|--|
| Name of stock broker/ trading member / clearing member | PROFITMART SECURITIES PVT. LTD. |
| SEBI Registration No. and date | For NSE CASH INZ000093633 & Dated : 21.10.2016 For NSE F&O INZ000093633 & Dated : 21.10.2016 For NSE CDS INZ000093633 & Dated : 21.10.2016 For CDSL DP IN-DP-295-2016 & Dated : 17.10.2016 |
| Corporate office | Off. No.12, 2nd Floor, Neelkanth Market, M.G. Road, Ghatkopar – East, Mumbai - 400077 • Phone: +91-22-62360931 • Fax: +91-22-62360932. • URL: www.profitmart.in • Email: info@profitmart.in • CIN No: U65990MH2011PTC217211 |
| DP Compliance officer Name: Phone No: & E-mail Id: | Gaurav Kothari 022 - 6792 0000 • compliance@profitmart.in |
| Compliance officer Name: Phone No: & E-mail Id: | Nayan Bhandari 022 - 6792 0000 • compliance@profitmart.in |
| Director's Name Phone No: & E-mail Id: | Niranjan Mahanjan 022 - 6792 0000 • director@profitmart.in |
| Clearing Member NSE Currency IL&FS SECURITIES SERVICES LTD. INE231308334 | NSE F&O AXIS BANK LTD. INF231133937 |
| For any grievance/dispute please contact PROFITMART SECURITIES PVT. LTD. at the above address or Email: grievance@profitmart.in and Phone No. : +91 - 022 - 6792 0000. In case not satisfied with the response, please contact the concerned exchange(s) at on NSE Tel. : +91 - 022 - 2659 8190 • Email ID : ignse@nse.co.in , CDSL Tel. : +91 - 022 - 22728642 • Email ID : helpdesk@cdslindia.com | |